

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
17 May 2001 (17.05.2001)

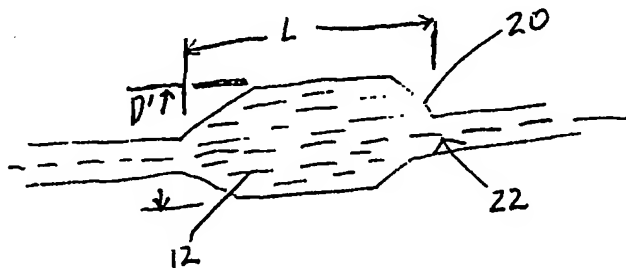
PCT

(10) International Publication Number  
WO 01/34062 A2

- (51) International Patent Classification<sup>7</sup>: A61F 2/06 (US). CHIN, Albert, C., C.; 25 Bernard Street, Newton, MA 02461 (US).
- (21) International Application Number: PCT/US00/41566
- (22) International Filing Date: 25 October 2000 (25.10.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/426,384 25 October 1999 (25.10.1999) US
- (74) Agent: STEINKRAUS, Walter, J.; Vidas, Arrett & Steinkraus, Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9185 (US).
- (81) Designated States (*national*): CA, JP.
- (84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
- (71) Applicant: SCIMED LIFE SYSTEMS, INC. [US/US]; One SciMed Place, Maple Grove, MN 55311-1566 (US). Published:  
— Without international search report and to be republished upon receipt of that report.
- (72) Inventors: CHEN, John, Jianhua; 4725 Terranceview Lane, Plymouth, MN 55446 (US). WANG, Lixiao; 12822 86th Place North, Maple Grove, MN 55369 (US). WANG, Yiqun; 6669 Quantico Lane N., Maple Grove, MN 55311

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: DIMENSIONALLY STABLE BALLOONS



(57) Abstract: A medical balloon composed of a micro-composite material which provides for radial expansion of a balloon to a predetermined extent, but which has minimal longitudinal growth during balloon inflation. The micro-composite material includes a fibril component, a matrix component, and optionally, a compatibilizer. The fibril component may preferably be liquid crystal polymer fibers randomly scattered through out the balloon material. The liquid crystal polymers are created by extrusion at high speed. An alternative fibril component may be PET fibers which are uniformly spaced about the balloon material and extend through out the length of the balloon material tube.

WO 01/34062 A2

## DIMENSIONALLY STABLE BALLOONS

5

## BACKGROUND OF THE INVENTION

Medical catheters having a balloon mounted thereon are useful in a variety of medical procedures. A balloon may be used to widen a vessel into which the catheter  
10 is inserted by dilating the blocked vessel, such as in an angioplasty procedure. More significant to the present invention however, is the use of a catheter to deliver a medical device, such as a stent, into a body lumen. Some examples of stent delivery balloons are disclosed in U.S. Patent No. 5702418, and U.S. Patent No. 5797877, the entire contents of both patents is hereby incorporated by reference. In these and other medical device  
15 delivery applications, radial expansion of a balloon may be used to expand or inflate a stent at a desired position within the body. Using a balloon equipped catheter to deliver a stent requires precise positioning of the balloon and stent as well as a balloon with accurate and predictable expansion properties. A known drawback of many previous delivery catheters and balloons is that when a balloon is radially inflated to a  
20 desired extent, the balloon will also expand longitudinally. As a result of longitudinal expansion of a balloon during the delivery of a medical device, the balloon itself, the medical device mounted thereupon or both apparatuses may be shifted from their pre-inflation position resulting in improper delivery of the medical device.

In balloons where longitudinal expansion occurs, the balloon may expand  
25 longitudinally past one or both of the stent ends. Typical stent delivery balloons will expand longitudinally at least 5% beyond the original pre-inflation state. In addition to potentially mis-delivering the medical device as described above, the resulting extended balloon may cause the edges of the stent to push against the vessel wall to a greater extent than they would from radial expansion alone. The protruding stent edges may  
30 damage or tear the surrounding vessel resulting in potentially serious trauma for the patient.

It has recently been discovered that Liquid Crystal Polymers (LCP) may be effectively blended with other materials and extruded to form high strength medical balloons. In copending U.S. applications 08/926,905 (corresponding to PCT/US98/18345 filed Sept. 4, 1998), and 09/257,677 filed February 25, 1999 there are 5 described medical balloons made from LCP blends. The entire contents of both of these applications is hereby incorporated by reference.

U.S. Patent No. 5,389,314 to Wang discloses an inflatable medical device which has a plurality of longitudinally oriented conduits which extend through out the length of the device. The device may be formed by co-extruding two dissimilar plastic 10 materials. The first material form defining a discrete phase which forms fibers and the other material or continuous phase which forms the remaining balloon material. After extrusion the discrete phase is withdrawn from the continuous phase, leaving the continuous phase with a plurality of conduits therethrough.

## 15 BRIEF SUMMARY OF THE INVENTION

The present invention is directed generally to medical balloons which expand only to a predetermined extent, and which have minimal longitudinal and/or minimal radial growth during expansion. Specifically, the invention is directed to a stent delivery balloon composed of a micro-composite material which includes a longitudinal 20 fibril structure that is either parallel to the longitudinal axis of the balloon structure, or that is diagonal to the longitudinal axis at the molecular level of the balloon. The orientation of the fibril structure can limit longitudinal expansion of the balloon and allow the balloon to expand radially as desired, but minimally, or not at all in the longitudinal direction if the fibrils are parallel to the balloon axis, or when the fibrils are 25 oriented diagonally about the axis, can limit both longitudinal and radial expansion of the balloon when inflated.

The micro-composite material is made up of a combination of a fibril component, a semi-compliant balloon material which acts as a matrix, and optionally a compatibilizer material which may act to create a less distinctive phase boundary 30 between the fibril and matrix components, but which does not solubilize the LCP polymer in the matrix at human body temperature.

The present invention provides for a balloon which utilizes LCP materials or other oriented materials such as PET, in combination with a thermoplastic elastomer matrix and an optional compatibilizer to form a micro-composite material. The present micro-composite material is suitable for construction balloons which exhibit minimal or  
5 no longitudinal growth during balloon expansion but which expands as desired in the radial direction, or the present micro-composite material is suitable for construction of balloons that exhibit minimal expansion both in the longitudinal and radial directions.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

10 A detailed description of the invention is hereinafter described with specific reference being made to the drawings in which:

FIG. 1 is a schematic representation of side view of a tubular parison used to produce a balloon of the invention from a the micro-fiber composite material;

FIG. 2 is a schematic side view of a medical device delivery balloon  
15 constructed from micro-composite material shown at nominal diameter wherein the fibril component is oriented parallel to the longitudinal balloon axis.

FIG. 3 is a view of the medical device delivery balloon shown in Fig. 2 in an inflated state at a pressure higher which causes radial growth of the balloon;

FIG. 4 is a cross-sectional view of a tubular parison for producing balloon  
20 of an alternative embodiment of the invention; and

FIG. 5 is a perspective view of the embodiment shown in FIG. 4.

FIG. 6 is perspective view of a dilatation balloon preform in a tubular parison form constructed from micro-composite material wherein the inner and outer fibril components have been oriented diagonally to the longitudinal axis of the tubular  
25 preform and in crossing relationship relative to each other by use of a counter-rotating extrusion die.

FIG. 7 is another perspective view of only the outer surface of a dilatation balloon preform constructed from micro-composite material wherein the fibril component is oriented diagonally to the longitudinal axis of the tubular preform by use of  
30 a rotating die.

FIG. 8 is a schematic side-view of a blow molded dilatation balloon

constructed from micro-composite material depicting the fibril component oriented diagonally to the longitudinal axis of the balloon.

#### DETAILED DESCRIPTION OF THE INVENTION

5                   While this invention may be embodied in many different forms, there are shown in the drawings and described in detail herein specific preferred embodiments of the invention. The present disclosure is an exemplification of the principles of the invention and is not intended to limit the invention to the particular embodiments illustrated.

10                   As noted above, the present invention relates to medical catheters which have one or more balloon portions constructed from a specially configured micro-composite material. The particular micro-composite material and configuration provides physical properties which allow a balloon to expand radially to a predetermined extent, but which allow only minimal, or more preferably, no longitudinal growth during  
15 expansion. The micro-composite material includes a longitudinal fibril component which exhibits micro-fibers at the molecular level in combination with a matrix of any semi-compliant balloon material. Depending on the specific fibril component, as well as the method of extrusion utilized to extrude the balloon material, the micro-fibers may be randomly scattered through out the balloon material or may be precisely spaced about the  
20 balloon and extending through the entire balloon length. The fibril structure is oriented or directed in the longitudinal direction of the balloon providing the balloon with desirable radial expansion characteristics and minimal longitudinal growth when the balloon is inflated.

                  As shown in Fig. 1, the balloons of the invention may be made from  
25 tubular parisons 10 of the micro-composite material, having a fibril component which exhibits micro-fibers 12 uniformly oriented in a predetermined direction. In a preferred embodiment shown in Fig. 2, the micro-composite is formed into a balloon 20 from a parison 10 by a conventional balloon blowing process. Balloon 20 has a diameter D and a length L. Micro-fibers 12 are oriented along and about the longitudinal axis 22 of the  
30 balloon at the molecular level. The fibril component may be any rigid-rod or semi-rigid-rod thermoplastic material which comprises 0.1 to about 20 percent, and more preferably

from about 0.5 to about 15 percent by weight of the micro-composite material.

Examples of suitable materials which could be utilized as the fibril component include: liquid crystal polymers such as VECTRA® LKX 1107, 1111, polyetheretherketone (PEEK) material, and PPS. Other materials may also be utilized as the fibril component  
5 of the present invention. Such substances include aromatic nylon, rigid polyurethane, polyester, copolyester, polyester blends, polyester/polyurethane blends, PEEK, PPS, fluoropolymer and so on.

To form the micro-composite material, the fibril component is preferably combined with a semi-compliant thermoplastic polymer material in a melt blend which at  
10 least partially phase separates upon cooling. Under appropriate conditions the phase separated material will form fibrils or micro-fiber 12 embedded in a matrix of the semi-compliant thermoplastic polymer, oriented substantially parallel to the longitudinal axis of the extruded tubing. The micro-composite material suitably employs an amount of semi-compliant polymer matrix component from about 50 to 99.9 percent by weight,  
15 preferably from about 85 to 99.5 percent.

Some examples of suitable materials which may be utilized as the matrix component are polyamide-polyester block copolymers, namely the polyamide/polyether/polyesters PEBAX® 6333, 7033 and 7233; also polyester-polyether block copolymer such as ARNITEL® 540.

20 As previously described, the present invention achieves the desired balloon expansion characteristics as a result of forming a balloon composed of a micro-composite material. The micro-composite material balloon is formed by coextrusion of a melt blend of LCP or other orientable material, the matrix component, and optionally a compatibilizer. A dual extrusion process utilizing two extruders may also be used to  
25 form the desired tube. In the case where LCP is used as the fibril component, the longitudinally oriented fibers are formed by subjecting the blend material to a relatively high extrudate puller speed. The high speed of the puller will subject the blend material to a shearing force which causes a material such as LCP to elongate and form fibers. If the LCP is not subjected to a high shearing force, the LCP will form droplet shaped  
30 deposits which provide minimal or no longitudinal stabilization.

If, during extrusion, relative rotation of the mandrel and die is avoided,

the fibrils will adopt an orientation substantially parallel to the longitudinal axis. If the die and mandrel are relatively rotated, e/g. by rotation of one or the other or both, the orientation of the fibrils will be helically about the axis.

A balloon which has an LCP fibril component tends to have individual  
5 fibers spread randomly throughout the balloon material. The individual LCP fibers will typically be between 0.1 micron to 1 micron in diameter.

If the various components utilized to form the micro-composite material are incompatible to a substantial degree, phase separation may be so efficient that slippage between phases might occur during balloon expansion thereby reducing the  
10 longitudinal restriction effect of the fibrils. To prevent such occurrences a compatibilizer may also be desirable for the purpose of enhancing the homogeneity of the melt blend prior to extrusion and cooling. A compatibilizer material may be added to the pre-extruded melt blend material to create a less distinctive phase boundary between the fibril and matrix components. The compatibilizer may be for instance a block copolymer  
15 comprising a block which is structurally similar or otherwise is soluble in the matrix polymer and a block which is structurally similar or otherwise soluble with the fibril component. An example of a suitable is the melt compatibilizer disclosed in application 08/926,905. Such a compatibilizer may be employed in an amount from 0 to about 30 weight percent.

20 The balloon 20, shown in Fig. 2 at nominal diameter, is shown in Fig. 3 inflated at a higher pressure which provides radial expansion to a new, larger diameter  $D'$ . In the most preferred embodiment, the micro-composite material 10 allows balloon 20 to obtain semi-compliant expansion in the radial direction while negating balloon expansion in the longitudinal direction during inflation (balloon length  $L$  is substantially  
25 unchanged in Fig. 3). Depending on the precise mixture and type of matrix and fibril components used, other embodiments of the present invention may provide for balloons with varying degrees and types of radial expansion while also reducing longitudinal expansion by varying degrees.

If substances less prone to phase separation from the matrix material are  
30 desired to be used, an appropriately shaped die may be used in the extrusion process to provide individually extruded fibers evenly around the tube circumference, for instance

in the manner of US 5,389,314 except that the fiber material is selected to adhere to the matrix material and a high line speed is used to provide a microscopic fiber diameter. For such an embodiment, the individual non-LCP fibers will typically be between 10 - 12 microns in diameter and may also extend through the entire length of the balloon in chain  
5 or cores.

This embodiment is depicted by the tubular parisons in Figs. 4 and 5. As shown, cores 30 are suspended through out the parison 31 in a matrix 32 which may be composed of any material suitable for constructing a semi-compliant balloon as have been described above. The cores 30 are composed of a material which has a more  
10 limited ability to stretch than the matrix material, and when the cores are collectively oriented in the same direction, the structures exhibit an increased longitudinal stability when inflated beyond initial or nominal diameter.

In selecting appropriate materials for the fibrils of cores 30 and matrix 32 it is important to select materials which provide adequate adhesion to one another. If  
15 adhesion is insufficient between the cores 30 and the surrounding matrix 32 longitudinal growth of the balloon produced from parison 31 will not be restricted as the more expansive matrix material will slip past the individual cores. A further important attribute of the cores 30 is the bulk elongation of the material when oriented as described above. The bulk elongation of the cores 30 should be within the range of 50%-150%. In  
20 order to avoid core breakage prior to balloon bursting, it is desirable to the present invention that if the material from which the cores are constructed exhibit a higher tensile strength than the material of which the matrix is constructed.

Figures 6-8 pertain to alternative embodiments in which the fibers of the balloon are orientated diagonally relative to the longitudinal axis of the balloon. In  
25 Figure 6 there is depicted a parison 60 for a balloon in which, in addition to using a high puller speed during extrusion, a counter rotating die was used. The counter rotating die has a mandrel which rotates in one direction and a concentric outer die which rotates in the opposite direction, the parison is extruded through the space between the two. The resulting parison has fibers 62 orientated diagonally to the parison axis 64 in one  
30 direction at the outside surface (angle  $\alpha$ ) and changing gradually as one passes through the material in a direction transverse to the axis 64 to a second direction (angle  $\beta$ ) at the



inside surface, the angles determined by outer die/mandrel rotation speeds and puller speed. If one or the other of the outer die or the mandrel are held stationary while the other is rotated, angle  $\alpha$  or angle  $\beta$  may be parallel to the axis 64.

In Figure 7 there is depicted a parison 70, having diagonally oriented  
5 fibers formed by relative rotation of the die and puller. For instance only the outer die or mandrel may be rotated so that the fibers become orientated at angle  $\alpha$  throughout the entire thickness of the parison.

Figure 8 depicts the outer surface orientation of a balloon 80 made from a parison of either Fig. 6 or Fig 7. In the balloon body the fibers retain an angular  
10 orientation relative to the balloon axis and provide resistance to both longitudinal and radial expansion beyond the nominal or molded dimensions.

Based on the above description it should be understood that several different polymers with a wide range of characteristics may be used to form a longitudinal or longitudinal and radial stabilized balloon of the present invention. The following is an  
15 example of a balloon and its manufacturing parameters which was actually constructed in accordance with the present invention disclosure.

Example 1: a matrix component of Pebax 7033 was mixed with a fibril component of LCP VECTRA LKX 1107 at the ratio of 95% to 5% respectively by weight. The mixture was extruded at a rate of 110 feet/minute line speed into tubing of  
20 0.039 (outer diameter) x 0.027 (inner diameter) inch. A 3.5 mm balloon was formed from the resulting tubing by radial expansion at 110 degrees Celsius with blowing pressure of 350 psi. The balloon with double wall thickness of 0.0014 inch was inflated from 4 atm to 13 atm at 1 atm increment and no measurable balloon length change was observed.

25 This completes the description of the preferred and alternate embodiments of the invention. Those skilled in the art may recognize other equivalents to the specific embodiment described herein which equivalents are intended to be encompassed by the claims attached hereto.

What is claimed is:

1. A dimensionally stable polymer balloon having a longitudinal axis and composed of a micro-composite material, the micro-composite material comprising a polymer  
5 matrix component and a polymer fibril component distributed in the polymer matrix component, the fibril component having micro-fibers oriented substantially parallel or diagonally to the longitudinal axis of the balloon.
2. The dimensionally stable balloon of claim 1 mounted on a catheter.
3. The dimensionally stable balloon of claim 1, wherein said fibril component  
10 comprises about 20% by weight or less but greater than about 0.1% of said micro-composite material.
4. The dimensionally stable balloon of claim 1, wherein the micro-composite material further comprises a compatibilizer component.
5. The dimensionally stable balloon of claim 4 wherein said compatibilizer is a  
15 block copolymer
6. The dimensionally stable balloon of claim 1, wherein the fibril component is composed of rigid-rod thermoplastic material.
7. The dimensionally stable balloon of claim 1, wherein the fibril component is composed of semi-rigid-rod thermoplastic material.
8. The dimensionally stable balloon of claim 1, wherein the fibril component is  
20 composed of liquid crystal polymer material.
9. The dimensionally stable balloon of claim 1, wherein the matrix component is a semi-compliant thermoplastic polymer.
10. The dimensionally stable balloon of claim 1, wherein the micro-fibers are  
25 oriented substantially parallel to the longitudinal axis of the balloon.
11. The dimensionally stable balloon of claim 1, wherein the micro-fibers are oriented diagonally to the longitudinal axis of the balloon.
12. The dimensionally stable balloon of claim 1, wherein the orientation of the micro-fibers relative to the longitudinal axis of the balloon changes through the balloon material  
30 in a direction transverse to said longitudinal axis.
13. A method of forming a balloon composed of a micro-composite material

comprising the steps of:

(a) melt blending a matrix component and a fibril component, wherein the mixture comprises less than about 15 percent by weight but greater than about 0.5 percent by weight of said fibril component, and the matrix component comprises less than about 99.5 percent by weight but greater than about 85 percent by weight of said matrix component;

(b) forming the melt blended mixture into tubing by extrusion in a manner which orients the fibril component along the longitudinal axis of the tubing; and

(c) forming the balloon by radial expansion of a segment of the tubing.

10 14. The method of claim 13 further comprising adding a compatibilizer to the melt blended mixture.

15 15. An inflatable medical balloon having a determined pre-inflation length, restricted longitudinal or radial expansion characteristics, a circumference and a longitudinal axis comprising:

15 a matrix material, said matrix material characterized as being semi-compliant; and having a plurality of cores therethrough, said cores being evenly distributed about the circumference of the balloon and being composed of one or more materials which are characterized as being stronger than the matrix material and having a bulk elongation less than the matrix material when the one or more materials are oriented in the direction of the longitudinal axis, and the matrix material and the core material  
20 operatively adhering to one another.

16. The medical balloon of claim 15 wherein the bulk elongation of the one or more cores material is between 50% and 150%.

25

30

1/3

Fig. 1

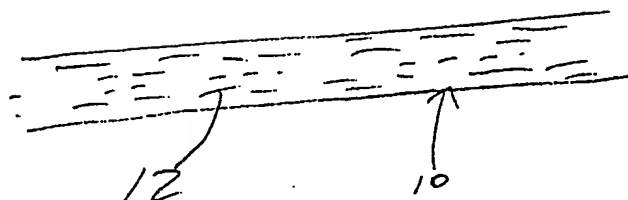


Fig. 2

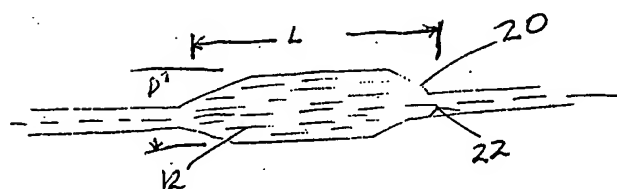
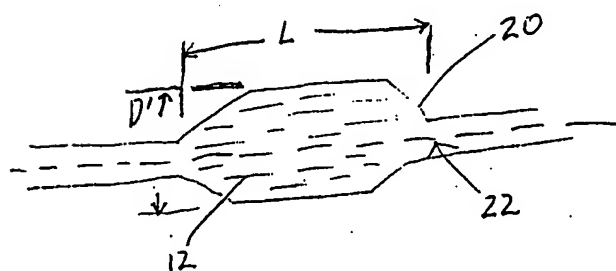


Fig. 3



2/3

Fig 4

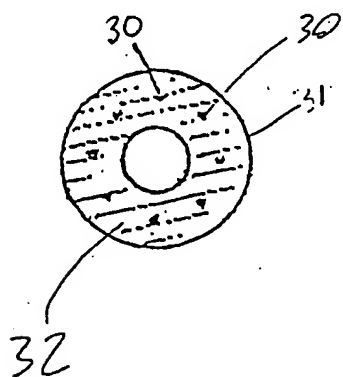
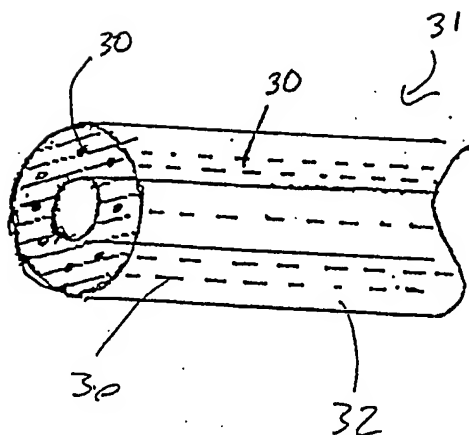


Fig 5



3/3

